



"Express Mail" Docket number: EV118434447US  
Date of Deposit: June 5, 2002  
I hereby certify that this paper and all papers and fees referred to herein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents, Washington, D.C.  
Holly A. Wolfe

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ORIGINALLY FILED

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Injong Rhee

Group Art Unit: 2661

**Serial No.: 09/989,957**

Examiner: Unassigned

Filed: November 21, 2001

Docket No.: 297/123/2

Confirmation No.: 1668

For: METHODS AND SYSTEMS FOR RATE-BASED FLOW CONTROL BETWEEN  
A SENDER AND A RECEIVER

\* \* \* \* \*

RESPONSE TO NOTICE TO FILE MISSING  
PARTS - FILING DATE GRANTED

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

This is responsive to the Notice to File Missing Parts of Nonprovisional Application dated December 5, 2001, having a term that expired on February 5, 2002. A Petition for a 4-month Extension of Time up to and including June 5, 2002 is enclosed. Accordingly, please find enclosed the following:

1. An executed Declaration for the above-referenced U.S. Patent Application;  
and
2. An executed Assignment and related Recordation Form Cover Sheet for  
the above-referenced U.S. Patent Application.

A copy of the Notice to File Missing Parts is being filed simultaneously.

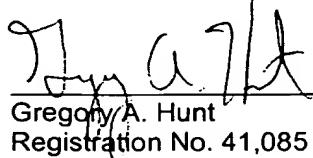
The Commissioner is hereby authorized to charge any fee associated with the filing of this correspondence to Deposit Account 50-0426.

Respectfully submitted,

JENKINS & WILSON, P.A.

Date: June 5 2001

By:

  
\_\_\_\_\_  
Gregory A. Hunt  
Registration No. 41,085

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Durham, North Carolina 27707  
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Customer No. Bar Code Label: 

297/123/2 GAH/sed

25297

PATENT TRADEMARK OFFICE

Enclosures



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66-07-02 A  
MP-49 #3

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June 5, 2002

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Date of Deposit June 5, 2002

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Holly A. Wolfe

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/989,957 for METHODS AND SYSTEMS FOR RATE-BASED FLOW CONTROL BETWEEN A SENDER AND A RECEIVER  
Our File No. 297/123/2

Sir:

Enclosed please find the following:

1. A Response to the Notice to File Missing Parts (2 pages);
2. An executed Declaration (2 pages);
3. A copy of the Notice to File Missing Parts;
4. An executed Assignment (2 pages);
5. Recordation Form Cover Sheet (Form PTO-1595) in duplicate;
6. A Petition and Fee for Extension of Time;
7. A Fee Transmittal Form (Form PTO/SB/17) in duplicate;
8. A return-receipt postcard to be returned to our offices with the U.S. Patent and Trademark filing stamp thereon; and

Commissioner for Patents  
June 5, 2002  
Page 2

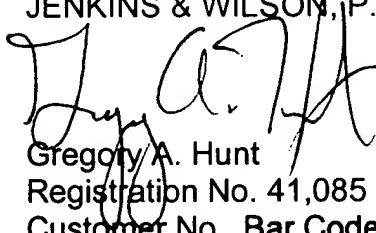
9. A Certificate of Express Mail No.: EV118434447US.

Please contact our offices if there are any questions with this matter.

The Commissioner is hereby authorized to charge any fee associated with the filing of this correspondence to Deposit Account 50-0426.

Respectfully submitted,

JENKINS & WILSON, P.A.

  
Gregory A. Hunt  
Registration No. 41,085  
Customer No. Bar Code Label:



25297

PATENT TRADEMARK OFFICE

GAH/sed

Enclosures

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PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

#3

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$825.00)

## Complete if Known

Application Number	09/989,757
Filing Date	November 21, 2001
First Named Inventor	Injong Rhee
Examiner Name	Not Assigned
Group Art Unit	Not Assigned
Attorney Docket No.	297/123/2

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

 Deposit Account:

50-0426

Jenkins &amp; Wilson, P.A.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee  
 to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1) (\$)		0.00	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
		-20** =	X	=
		-3** =	X	=

Large Entity	Small Entity	Fee Description	
Fee Code (\$)	Fee Code (\$)		
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)		0.00	

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	720.00
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)	825.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gregory A. Hunt	Registration No. (Attorney/Agent)	41,085	Telephone	919-493-8000
Signature	<i>Gregory A. Hunt</i>			Date	June 5 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



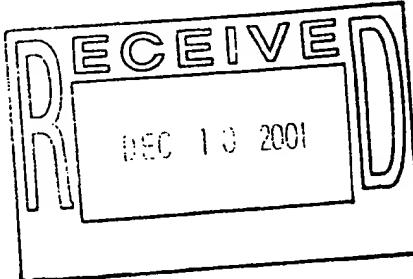
# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/989,957	11/21/2001	Injong Rhee	297/123/2

25297  
JENKINS & WILSON, PA  
3100 TOWER BLVD  
SUITE 1400  
DURHAM, NC 27707



## CONFIRMATION NO. 1668 FORMALITIES LETTER



\*OC00000007159303\*

Date Mailed: 12/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice MUST be returned with the reply.*

R.B

Customer Service Center

Initial Patent Examination Division (703) 308-1202

### PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/11/2002 YPOLITE1 00000063 500426 09989957

01 FC:205 65.00 CH

DOCKET DATES: 2/5/02, 3/5/02, 4/5/02, 5/5/02, 6/5/02

ASSIGNED ATTY: PS/ GATT

FILE NO. 297/123/2

DOCKETED BY: HNM

DATE: 12/11/01